



ANNEX 9

Due Diligence Renewal Questionnaire

This questionnaire must be completed by the Third Party itself and must be answered in its entirety in full with handwritten signature or by any digital means.

1. General information.

By signing this questionnaire, I declare under oath of truth, that I have carried out the necessary procedures and consultations to ensure that the answers provided in this document are truthful and complete.

Prepared by:

_____ (Name)

Charge:

Signature: _____ Date: _____

Phone cell: _____ Phone Number: _____

Email:

Full legal name:

Country, date of incorporation and registration of the natural or legal person:

Turn of the company or corporate purpose:

Conventional address:

Tax Address:

Country(ies) where you conduct your business or services:

Phone: _____ Web Site: _____

1. Write down all the names under which the third party has carried out business, including affiliate or subsidiary companies, indicating the period for each one:

Name	Period

2. In the case of legal entities, provide a list of the shareholders who have more than 10% and their nationality.

Name	%Percentage	Nationality

3. Explain what the legal structure of the company is (e.g., controlled or controlling company, subsidiary, affiliate, etc., in which case you must relate the group structure) until you reach to the last holder or beneficiary. If the information is extensive, please place it at the end of the document.

4. If there is, specify who are the members of the board of directors of your organization.

Name	Charge	Nationality	Year of Birth

5. Indicate who are the main senior management members of your organization.

Name	Charge	Nationality	Year of birth

6. Indicate the country(ies) where your company will obtain the resources (financial and material, if applicable) for this Commercial Agreement?

7. Which subsidiaries or other organizations will participate with your company in the proposed project/association, if applicable? In case it does not apply indicate N/A

Name	Relation	Activity

8. Write down the details of the people who will be responsible for working with P.M.I. Trading Designated Activity

Name	Charge	Nationality	Year of Birth

9. If you plan to use intermediaries or other people who are not part of your organization, to carry out procedures or services on behalf of or in favor of P.M.I. Trading Designated Activity Company, before other public servants in Mexico or public officers abroad, identify the name(s) and address(s), the relationship with you, and the activities that will be carried out. Otherwise, check "NO".

NO

Name	Relation	Activity

10. Bank Account Information:

Account beneficiary	Bank	Account registration country

11. Do any of the bank accounts have a beneficiary that doesn't match your company name?

Yes No

Reason? _____

12. Are any of the bank accounts registered in a tax haven or in a sanctioned bank or country?

Yes No

Reason? _____

SECTION I:

1. Indicate if any of your employees, shareholders, or members of your upper management a “public servant or public officer”?

Yes

No

If yes, please provide a list of the government offices where your employees, shareholders or members of your upper management are public servants or public officers, as well the position and the period of functions in government.

Name	Charge/Government Position	Period

2. Indicate if any of your employees, shareholder, or member of upper management of your company are related (by relationship, blood relationship, affinity; or by business associations or investments, etc.) currently or in the past (last 24 months) to a “public servant or public officer”?

Yes

No

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If yes, describe the relationship between that person and the public servant

Name of Employee/ Shareholder / Officer	Relationship with the Public or Officer or public officer	Name of public servant or Public officer

3. Does the company, any of its affiliates or subsidiaries belong in whole or in part to a "public servant", or a person related to one of them, whether as a shareholder, investor, partner of the organization, or of any other person, or of any other person? another way?

Yes

No

If yes, indicate the name of the "public servant", and his total share as a percentage.

Name of Public Servant or Public Officer	Type of Link (Shareholder, Investor, Partner, Etc.)	% Participation

SECTION II

1. Does any of your key Employees or member of the senior management of your organization provide or will provide financial or other benefits to any employee, agent and/or representative of PEMEX, its subsidiary productive companies and/or affiliated companies; or to any server or public officer or a member of the his family (e.g. educational or medical assistance, housing)?

Yes

No

If yes, please explain. Provide a list of all benefits granted, the name of all recipients of such benefits, and their relationship to the "public servant" (e.g., cousin, sister, etc.).

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Name of public servant or the employee, representative and /or agent of PEMEX, its subsidiary productive companies and / or its affiliated companies	Name of the family member of the server or public officer or of the employee, representative and / or attorney of PEMEX, Its subsidiary productive companies and /or its affiliated companies	Family bond	Benefit granted or to be granted

2. Indicate if any public servant, employee, attorney and / or representative of PEMEX, its subsidiary companies and / or affiliates companies, any public servant or public officer or a member of the family of the aforementioned have any interest, or will benefit in any way, as a result of the Commercial Agreement?

Yes

No

If yes, please explain:

3. Have you, or any key Employee or member of your organization's senior management, been convicted of a money laundering, fraud, or corruption offense in any country, including where the Trade Agreement will be developed or are there any legal proceedings of this nature pending?

Yes

No

If yes, describe the charges for which you or key members of your organization have been convicted or are currently pending proceedings, and when happened.

4. Is there any pending litigation or legal process against your organization, or any key employee or member of senior management, initiated by any government entity of any country including in which the Trade Agreement will be developed, the outcome of which could affect you?

Yes

No

If yes, please explain.
